

Evolinc: a tool for the identification and evolutionary comparison of long intergenic non-coding RNAs

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Provisional

Evolinc: a tool for the identification and evolutionary comparison of long intergenic non-coding RNAs

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- 19 **Running Title:** Identification and comparative evolution of lincRNAs with Evolinc
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27 Abstract

Long intergenic non-coding RNAs (lincRNAs) are an abundant and functionally diverse class of 28 eukaryotic transcripts. Reported lincRNA repertoires in mammals vary, but are commonly in the 29 thousands to tens of thousands of transcripts, covering ~90% of the genome. In addition to 30 31 elucidating function, there is particular interest in understanding the origin and evolution of 32 lincRNAs. Aside from mammals, lincRNA populations have been sparsely sampled, precluding evolutionary analyses focused on their emergence and persistence. Here we present Evolinc, a 33 34 two-module pipeline designed to facilitate lincRNA discovery and characterize aspects of 35 lincRNA evolution. The first module (Evolinc-I) is a lincRNA identification workflow that also 36 facilitates downstream differential expression analysis and genome browser visualization of 37 identified lincRNAs. The second module (Evolinc-II) is a genomic and transcriptomic comparative analysis workflow that determines the phylogenetic depth to which a lincRNA locus 38 is conserved within a user-defined group of related species. Here we validate lincRNA catalogs 39 40 generated with Evolinc-I against previously annotated Arabidopsis and human lincRNA data. Evolinc-I recapitulated earlier findings and uncovered an additional 70 Arabidopsis and 43 41 42 human lincRNAs. We demonstrate the usefulness of Evolinc-II by examining the evolutionary 43 histories of a public dataset of 5,361 Arabidopsis lincRNAs. We used Evolinc-II to winnow this dataset to 40 lincRNAs conserved across species in Brassicaceae. Finally, we show how 44 Evolinc-II can be used to recover the evolutionary history of a known lincRNA, the human 45 telomerase RNA (TERC). These latter analyses revealed unexpected duplication events as well 46 47 as the loss and subsequent acquisition of a novel TERC locus in the lineage leading to mice 48 and rats. The Evolinc pipeline is currently integrated in CyVerse's Discovery Environment and is 49 free for use by researchers.

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53 Introduction

54 A large, and in some cases predominant, proportion of eukaryotic transcriptomes are composed of long non-coding RNAs (IncRNAs) (Hangauer et al., 2013; Guttman et al., 2009; Cabili et al., 55 2011; H., Wang et al., 2015; Liu et al., 2012). LncRNAs are longer than 200 nucleotides (nt) and 56 57 exhibit low protein-coding potential (non-coding). While some transcripts identified from RNA-58 seq are likely the result of aberrant transcription or miss-assembly, others are bona fide lincRNAs with various roles (see [Wang and Chang, 2011; Ulitsky and Bartel, 2013] for a review 59 of IncRNA functions). To help factor out transcriptional "noise", additional characteristics are 60 used to delineate IncRNA. These additional characteristics focus on factors such as 61 62 reproducible identification between experiments, degree of expression, and number of exons (Derrien et al., 2012). In general, IncRNAs display poor sequence conservation among even 63 closely related species, are expressed at lower levels than protein-coding genes, and lack 64 65 functional data.

The function of any particular IncRNA is likely to influence its evolution. One means of 66 67 inferring that a transcript is a functional IncRNA and not an artefact is the degree of conservation we observe at that locus between two or more species. This conservation can be 68 69 observed at the sequence, positional, and transcriptional level (Ulitsky, 2016). Comparative approaches to identify conserved and potentially functional IncRNAs typically focus on long 70 intergenic non-coding RNAs (lincRNAs), since their evolution is not constrained by overlap with 71 protein-coding genes. In vertebrates, lincRNA homologs have been identified in species that 72 diverged some 400 million years ago (MYA), whereas in plants lincRNA homologs are primarily 73 74 restricted to species that diverged < 100 MYA (Ulitsky et al., 2011; Necsulea et al., 2014; 75 Nelson et al., 2016; Liu et al., 2012; Li et al., 2014; Zhang et al., 2014; Mohammadin et al., 2015). Importantly, the conserved function of a handful of these lincRNAs have been 76 77 experimentally verified in vivo (Hawkes et al., 2016; Migeon et al., 1999; Quinn et al., 2016).

78 One major factor inhibiting informative comparative genomics analyses of lincRNAs is 79 the lack of robust sampling and user-friendly analytical tools. Here we present Evolinc, a 80 lincRNA identification and comparative analysis pipeline. The goal of Evolinc is to rapidly and 81 reproducibly identify candidate lincRNA loci, and examine their genomic and transcriptomic 82 conservation. Evolinc relies on RNA-seq data to annotate putative lincRNA loci across the target 83 genome. It is designed to utilize cyberinfrastructure such as the CyVerse Discovery Environment (DE), thereby alleviating the computing demands associated with transcriptome 84 assembly (Merchant et al., 2016). The pipeline is divided into two modules. The first module, 85 Evolinc-I, identifies putative lincRNA loci, and provides output files that can be used for analyses 86 of differential expression, as well as visualization of genomic location using the EPIC-CoGe 87 genome browser (Lyons et al., 2014). The second module, Evolinc-II, is a suite of tools that 88 89 allows users to identify regions of conservation within a candidate lincRNA, assess the extent to 90 which a lincRNA is conserved in the genomes and transcriptomes of related species, and 91 explore patterns of lincRNA evolution. We demonstrate the versatility of Evolinc on both large and small datasets, and explore the evolution of lincRNAs from both plant and animal lineages. 92

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94 Materials and Methods

In this section we describe how the two modules of Evolinc (I and II) work, and explain the datagenerated by each.

97 Evolinc-I: LincRNA identification

Evolinc-I minimally requires the following input data: a set of assembled and merged
transcripts from Cuffmerge or Cuffcompare (Trapnell et al., 2010) in gene transfer format (GTF),
a reference genome (FASTA), and a reference genome annotation (GTF/GFF/GFF3). From the
transcripts provided in the GTF file, only those longer than 200 nt are kept for further analysis.
Transcripts with high protein-coding potential are removed using two metrics: 1) open reading

103 frames (ORF) encoding a protein > 100 amino acids, and 2) similarity to the UniProt protein 104 database (based on a 1E-5 threshold). Filtering by these two metrics is carried out by Transdecoder (https://transdecoder.github.io/) with the BLASTp step included. These analyses 105 106 yield a set of transcripts that fulfill the most basic requirements of IncRNAs. Due to anticipated 107 lack of sequence homology or simple lack of genome data that users may deal with, we did not 108 include ORF conservation as a filtering step within Evolinc-I, but instead suggest users to 109 perform a PhyloCSF or RNAcode (Washietl et al., 2011) step after homology exploration by 110 Evolinc-II.

111 The role of transposable elements (TEs) in the emergence and function of IncRNAs is an active topic of inquiry (Wang et al., 2017; Kapusta et al., 2013). To facilitate these studies, 112 Evolinc allows the user to separate IncRNAs bearing similarity to TEs into a separate FASTA 113 file. This is performed by BLASTn (Camacho et al., 2009; Altschul et al., 1990), with the above 114 115 IncRNAs as query against a user provided TE database (in FASTA format). Many different TE datasets can be acquired from Repbase (http://www.girinst.org/), PGSB-REdat 116 117 [http://pgsb.helmholtz-muenchen.de/plant/recat/; (Spannagl et al., 2016)], or DPTEdb: Dioecious Plant Transposable Elements Database (http://genedenovoweb.ticp.net:81/DPTEdb/index.php). 118 119 We considered IncRNAs that exceeded a bit score value of 200 and an E-value threshold of 1E-120 20 to be TE-derived. These stringent thresholds remove TE-derived IncRNAs with high similarity to TEs, but allow for retention of IncRNAs with only weak similarity to TEs, perhaps reflecting 121 older TE integration events or TE exaptation events (Johnson and Guigó, 2014). To thoroughly 122 123 identify TE-derived IncRNAs, we suggest building the TE database from as many closely related and relevant species as possible. The output from these analyses includes a sequence file 124 (FASTA) for each TE-derived IncRNAs, and BED files to permit their visualization via a genome 125 126 browser. These transcripts are excluded from the file of putative IncRNAs used in downstream 127 analyses by Evolinc-I.

128 Candidate IncRNAs are next compared against reference annotation files using the 129 BEDTools package (Quinlan and Hall, 2010) to determine any overlap with known genes. Some 130 reference annotations distinguish between protein-coding and other genes (IncRNAs, 131 pseudogenes, etc). If this style of reference annotation is available, we suggest running Evolinc-132 I twice, once with an annotation file containing only protein-coding genes (generated with a 133 simple grep command) and once with all known genes. This is a simple way to distinguish 134 between the identification of novel putative IncRNAs and known (annotated) IncRNAs. We also 135 recommend using an annotation file that contains 5' and 3' UTRs where possible. If this is unknown, the genome coordinates within the reference annotation file should be manually 136 adjusted to include additional sequence on either end of known genes (i.e., 500bp). This 137 number can be adjusted to adhere to community-specific length parameters for intergenic 138 139 space. We provide two simple ways to update genome annotation files, either for the command 140 line: (https://github.com/Evolinc/Accessory-scripts) or an app within the DE (Modify_GFF_Coordinates) Evolinc-I identifies IncRNAs whose coordinates overlap with those 141 of a known gene. These gene-associated IncRNAs are then sorted into groups based on 142 143 direction of overlap to known genes: sense or antisense-overlapping IncRNA transcripts (SOT 144 or AOT, respectively). Keep in mind that in order for these inferences to be made, either strand-145 specific RNA-sequencing must be performed or the IncRNA must be multi-exonic. Sequence FASTA and BED files for each group of overlapping IncRNAs are generated by Evolinc-I for the 146 user to inspect. Demographic data are also generated for each of these IncRNA types 147 148 (explained further below).

LncRNAs that do not overlap with known genes and have passed all other filters are considered (putative) lincRNAs. Evolinc-I also deals with optional input data that may increase the confidence in the validity of particular candidate lincRNAs. For example, when users provide transcription start site coordinates (in BED format), Evolinc-I identifies lincRNAs in which the 5' 153 end of the first exon is within 100bp of any transcriptional start site (TSS). LincRNAs with TSS are annotated as "CAGE PLUS" in the FASTA sequence file (lincRNAs.FASTA), and the 154 155 identity of such lincRNAs is recorded in the final summary table (Final summary table.tsv). 156 Optionally, Evolinc-I identified lincRNAs (termed Evolinc-lincRNAs) can also be tested against a 157 set of user-defined lincRNAs that are not found in the reference annotation (i.e., an in-house set 158 of lincRNAs not included in the genome annotation files). When the coordinates for a set of such 159 lincRNA loci are provided in general feature format (GFF), Evolinc-I will use these data to determine if any putative Evolinc-lincRNAs are overlapping. These loci are appended with 160 " overlapping_known_IncRNA" in the lincRNA.FASTA file. The identity of the overlapping 161 (known IncRNA) is listed for each Evolinc-lincRNA in the final summary table 162

163 (Final_summary_table.tsv).

164 Output from Evolinc-I

165 Evolinc-I generates a sequence file and BED file for TE-derived IncRNAs, AOT or SOT IncRNAs, and intergenic IncRNAs (lincRNAs). We highly recommend scanning the FASTA files 166 for the presence of ribosomal and other RNAs against the Rfam database 167 (http://rfam.xfam.org/search#tabview=tab1) and removing these before further analysis. The 168 169 BED file is useful for direct visualization in a genome browser (Buels et al., 2016) or intersecting 170 with other BED files generated from different Evolinc-I analyses (Quinlan and Hall, 2010). An 171 updated genome annotation file is created, appending only the lincRNA loci to the user-supplied 172 reference annotation file. This file can then be used with differential expression analysis 173 programs such as DESeq2 or edgeR (Anders and Huber, 2010; Robinson and Oshlack, 2010). 174 In addition, two types of demographic outputs are generated. For SOT, AOT, and lincRNAs, a report is created that describes the total number of transcripts identified for each class (isoforms 175 176 and unique loci), GC content, minimum, maximum, and average length. For lincRNAs only, a final summary table is generated with the length and number of exons for each lincRNA, as well 177

- as TSS support and the ID of any overlapping, previously curated lincRNA. The Evolinc-I
- 179 workflow is shown in Figure 1A.

180 Additional Evolinc-I resources

181 We have also included in the DE and in the GitHub repository

182 (<u>https://github.com/Evolinc/Accessory-scripts/</u>) an assortment of scripts and workflows that will

prevent known errors from occurring in transcript assembly and IncRNA identification. For

instance, genome FASTA files often have chromosome headers prefaced with lcl| or gi|,

185 whereas the corresponding genome annotation (GFF) file does not. Some tools such as

186 Cuffmerge and Cuffcompare cannot parse genome associated files with non-matching

187 chromosome IDs, resulting in an output file that will not work with Evolinc-I. To address this

issue, we have included a short script called "clean_fasta_header.sh" to the GitHub repository

and an app with the same name in the DE.

We also created an additional workflow to streamline the read mapping and transcript assembly process to generate input for Evolinc-I. This workflow is available as an app in the DE called Hisat2-Cuffcompare v1.0 and as a script in our GitHub repository under

Accessory_scripts. Hisat2-Cuffcompare requires one or more SRA IDs, a genome sequence file

194 (FASTA), and a genome reference annotation file (GFF) as input. Hisat2-Cuffcompare uses

HISAT2 (Pertea et al., 2016) to map reads, either Cufflinks or StringTie (Trapnell et al., 2010;

196 Pertea et al., 2016) to assemble transcripts, and then Cuffmerge or Cuffcompare to generate

the input file for Evolinc-I.

198 Identifying lincRNA conservation with Evolinc-II

199 Evolinc-II minimally requires the following input data: a FASTA file of lincRNA sequences,

200 FASTA file(s) of all genomes to be interrogated, and a single column text file with all species

listed in order of phylogenetic relatedness to the query species (example and further elaboration

202 on the species list in File S1). Many of these genomes can be acquired from CoGe 203 (www.genomevolution.org) or the genome_data folder for Evolinc within the DE 204 (/iplant/home/shared/iplantcollaborative/example_data/Evolinc.sample.data), and lincRNA 205 sequences can be obtained from either the output of Evolinc-I or from another source. Genome 206 FASTA files should be cleaned of pipe () characters (see above) and lincRNA FASTA files 207 should not include underscores. The number, relationship, and divergence times of the 208 genomes chosen will depend on the hypotheses the user intends to test. We recommend using many closely related species (intra-family), where possible, and then picking species outside of 209 the family of interest depending on quality of genome annotation and number of lincRNAs 210 211 identified. To determine the transcriptional status of lincRNA homologs across a group of species, Evolinc-II can optionally incorporate genome annotation files (GFF) and known 212 213 lincRNA datasets from target species in FASTA format. In addition, Evolinc-II can incorporate 214 motif and structure data, in BED format, to highlight any potential overlap between conserved regions and user-supplied locus information. 215

216 Evolinc-II starts by performing a series of reciprocal BLASTn (Camacho et al., 2009) searches against provided target genomes, using a user-defined set of lincRNAs as query and 217 218 user chosen E-value cutoff. We suggest starting at an E-value cutoff of 1E-20 because we 219 found that across 10 Brassicaceae genomes, and independently among human, orangutan and 220 mouse, this value was optimal for recovering reciprocal and syntenic sequence homologs 221 (Nelson et al., 2016). While 1E-20 represents a starting point for these analyses, lincRNA 222 homolog recovery relies on a variety of factors (i.e., background mutation rate, genome stability, evolutionary distance of species / taxa being analyzed, and genome size) that could affect the 223 E-value cutoff most likely to return homologous loci among related genomes. Thus, we 224 225 recommend "calibrating" Evolinc-II using varying E-values with at least three genomes (two 226 genomes aside from the query) of varying evolutionary distances from the query species before

227 including a larger (> 3) set of genomes. If few sequence homologs are recovered for distantly 228 related species, the user should try lowering the E-value. For command-line users examining 229 transposable element derived lncRNAs identified by Evolinc-I, it might be useful to replace all 230 instances of "blastn" within "Building Families.sh" with "rmblastn". RmBLASTn is a version of 231 BLASTn with Repeat Masker extensions, which will provide more sensitivity when examining conservation of this set of IncRNAs (www.repeatmasker.org). After BLASTn (or RmBLASTn), 232 233 the top blast hit (TBH) to the query lincRNA is identified for each additional genome included. 234 Multiple, non-redundant hits falling within the same genomic region, which is likely to occur when the query lincRNA is multi-exonic, are merged as a single TBH. Sequence for all TBHs 235 236 are then used as query in reciprocal BLAST searches (see below). For researchers interested in inferring orthology versus paralogy of a sequence homolog in a particular subject species, the 237 238 coordinates of all BLAST hits that passed the E-value cutoff are retained in the file: 239 Homology_search/Subject_species.out.merged.gff. However, to reduce computing time, 240 subsequent analyses are confined to TBHs. Query lincRNAs for which a TBH is not identified in the first iteration (i.e., did not pass the E-value cutoff), are subdivided into non-overlapping 241 242 segments of 200 nt and each segment is used as query in a second set of BLAST searches 243 using similar parameters as the initial search. This reiterative step can be useful in finding short regions of sequence similarity in long query lincRNAs. 244

TBHs from each species included in the analysis are then used as query sequences in a reciprocal BLAST against the genome of the species whose lincRNA library was used in the original query. For a locus to be considered homologous to the original query lincRNA locus, both loci must be identified as the TBH to each other. This is especially useful when performing searches using a low E-value cutoff, as it reduces the chance of random sequence being returned as a sequence homolog. TBHs that pass the reciprocity test are appended with "Homolog" in the final FASTA sequence alignment file ("query_lincRNA_1"_alignment.FASTA). 252 As TBHs from each target genome are identified, they are scanned for overlap against optional genome reference annotation datasets (GFF) and known lincRNA files (FASTA). The 253 254 identifier number (ID) of all TBHs with overlap against these two datasets is appended with 255 either "Known gene" or "Known lincRNA". The identity of the overlapping gene is retained in 256 the final summary table (final_summary_table.tsv) as well as in each FASTA sequence alignment file (see below). Many genes and almost all lincRNAs are annotated based on 257 258 transcriptional evidence. Thus, this is a simple way of determining if a query lincRNA corresponds to a locus with evidence of transcription in another species. In addition, when 259 260 working with a poorly annotated genome, comparing against well-annotated species can provide additional levels of information about the putative function of query lincRNAs. For 261 example, if the homologous locus of a query lincRNA overlaps a protein-coding gene in that 262 263 species, it could indicate that the query lincRNA is a protein-coding gene, or a pseudogene.

264 All TBH sequences for a given query lincRNA are clustered into a family. For example, an Evolinc-II analysis that gueries ten lincRNAs across a set of target genomes will result in ten 265 266 lincRNA families, populated with the TBH from each target genome. Genomes that do not return a TBH at the specified E-value cutoff (from either full-length or segmented searches), or whose 267 268 TBH does not pass the reciprocity test, will not be represented in the family. These lincRNA 269 families are then batch aligned using MAFFT under default settings with 1000 iterations (Katoh 270 and Standley, 2013). Command-line users wishing to modify the MAFFT parameters can do so on line 27 of the Batch MAFFT script available in our GitHub repository (below). The alignment 271 272 file for each lincRNA family can be downloaded into a sequence viewer. Evolinc-II will also infer phylogeny from the sequence alignment using RAxML v8.2.9 (Stamatakis, 2014) under the 273 GTRGAMMA model, with rapid bootstrap analysis of 1000 bootstrap datasets. Parameters for 274 275 RAxML are viewable and modifiable in the Batch_RAxML file. Gene trees are reconciled with a 276 user-provided species tree, in Newick format, using Notung (Durand et al., 2006). This latter

analysis pinpoints duplication and loss events that may have occurred during the evolution of
the lincRNA locus. Bootstrap support of 70 is required for Notung to choose the gene tree model
over the species tree. The Notung reconciled tree is available to view in PNG format within the
CyVerse DE. Duplication and loss events are denoted by a red D or L, respectively (Example in
Figure S4). The Evolinc-II workflow is shown in Figure 2A.

282 Output from Evolinc-II

283 Evolinc-II generates sequence files containing lincRNA families with all identified sequence 284 homologs from the user-defined target genomes. In addition, a summary statistics table of 285 identified lincRNA loci based on depth of conservation and overlapping features (e.g., genes, 286 lincRNAs, or other user defined annotations) is generated. The identity of overlapping features (e.g., gene, known lincRNAs) in each genome for which a sequence homolog was identified is 287 listed (Shown for the Liu-lincRNAs in File S3). To visualize conserved regions of all query 288 289 lincRNAs, a query-centric BED file is generated that is ready for import into any genome browser. An example using the genome browser embedded within CoGe (Tang and Lyons, 290 2012) is shown below (Figure 2C). Following phylogenetic analysis, a reconciled gene tree is 291 produced with predicted duplication and loss events indicated. Lastly, to provide the user with a 292 broad picture of lincRNA conservation within their sample set, a bar graph is produced that 293 294 indicates the number and percent of recovered sequence homologs in each species (Figure S2A). 295

296 Data and software availability

All genomes used in this work, including version and source, are listed in File S1. The accession number of all short read archive files (SRA) used in this work, including project ID, TopHat (Kim et al., 2013) read mapping rate, and total reads mapped for each SRA are shown in File S1. Genomic coordinates for lincRNAs identified by Evolinc-I are listed by species in BED/GFF 301 format in File S2. LincRNAs were scanned for the presence of ribosomal and other known

302 RNAs by batch searching against the Rfam database

303 (<u>http://rfam.xfam.org/search#tabview=tab1</u>). Novel lincRNAs have also been deposited within

the CoGe environment as tracks for genome browsing (Links found in File S2). Evolinc is

available as two apps (Evolinc-I and Evolinc-II) in CyVerse's DE (https://de.cyverse.org/de/), for

306 which a tutorial and sample data are available

307 (https://wiki.cyverse.org/wiki/display/TUT/Evolinc+in+the+Discovery+Environment). Evolinc is

308 also available as self-contained Docker images (https://hub.docker.com/r/evolinc/evolinc-i/ and

309 https://hub.docker.com/r/evolinc/evolinc-ii/) for use in a Linux or Mac OSX command-line

310 environment. The code for Evolinc is available to download/edit as a GitHub repository

311 (https://github.com/Evolinc). Information for installation of the Docker image in a command-line

environment, as well as FAQs associated with this process are available in the Evolinc GitHub

313 repository readme file. Both Evolinc tools make use of several open source tools, such as

BLAST for sequence comparisons (Altschul et al., 1990; Camacho et al., 2009), Cufflinks

315 (Trapnell et al., 2010) for GFF to FASTA conversion, Bedtools (Quinlan and Hall, 2010) for

316 sequence intersect comparisons, MAFFT (Katoh and Standley, 2013) for sequence alignment,

317 RAxML (Stamatakis, 2014) for inferring phylogeny, Notung (Durand et al., 2006) for reconciling

gene and species trees, and python, perl, and R for file manipulation and data reporting.

319 **RNA-seq read mapping and transcript assembly**

320 SRA files were uploaded directly into CyVerse DE from (<u>http://www.ncbi.nlm.nih.gov/sra</u>) by

321 using the "Import from URL" option. All further read processing was performed using

applications within DE. Briefly, uncompressed paired end reads were trimmed (5 nt from 5' end

and 10 nt from 3' end) using FASTX trimmer, whereas single end read files were filtered with

the FASTX quality filter so that only reads where \geq 70% of bases with a minimum quality score

325 of 25 were retained (http://hannonlab.cshl.edu/fastx_toolkit/index.html). Reads were mapped to

their corresponding genomes using TopHat2 version 2.0.9 (Kim et al., 2013). TopHat2 settings
varied based on organism and SRA, and are listed in File S1. Transcripts were assembled using
the Cufflinks2 app version 2.1.1 under settings listed in File S1 (Trapnell et al., 2010). TopHat2
and Cufflinks2 were executed on reads from each SRA file independently.

330 Validation of lincRNA expression in vivo

331 RNA was extracted from 2-week old seedlings and flower buds from 4-week old Arabidopsis 332 Col-0 using Trizol (ThermoFisher Life Sciences catalog # 15596018). These tissues and age at 333 extraction most closely matched the experiments from which the RNA-seq data was obtained 334 (Liu et al., 2012). cDNA was synthesized using SuperScript III (ThermoFisher Life Sciences 335 catalog # 18080051) and 2µg of RNA as input. Primers were first validated by performing PCR with genomic DNA as template using GoTag Green polymerase master mix (Promega catalog 336 337 #M712) with 95°C for 3' to denature, followed by 35 cycles of 95°C for 15", 55°C for 30" and 72°C for 30" and a final extension step of 5' at 72°C. Primers used are listed in File S2. 338

339 **Results**

340 An overview of lincRNA identification with Evolinc-I

341 Evolinc-I validation

342 After establishing a workflow using the most commonly accepted parameters for defining a lincRNA (detailed in Materials and Methods), we wanted to evaluate its efficiency at 343 344 distinguishing between unknown or novel protein-coding genes and non-coding loci. For this, we used a random set of 5,000 protein-coding transcripts selected from the TAIR10 annotation to 345 determine Evolinc-I's false discovery rate (FDR) (i.e., protein-coding transcripts erroneously 346 347 classified as lincRNAs). ORFs for this test dataset ranged in length from 303 to 4182 nts, with an average ORF of 1131 nts (File S3). Because Evolinc is designed to automatically remove 348 349 transcripts that map back to known genes, we removed these 5,000 genes from the reference

350 genome annotation file, and then generated a transcript assembly file from RNA-seg data where 351 these 5,000 genes were known to be expressed. We fed the transcript assembly file to Evolinc-352 I. Out of 5,000 protein-coding genes, only 11 were categorized as non-coding by Evolinc-I 353 (0.22% FDR; File S3). Further investigation of the 11 loci revealed that they were predominantly 354 low coverage transcripts with ORFs capable of producing polypeptides greater than 90, but less 355 than 100 amino acids (aa). Moreover, low read coverage for these transcripts led to incomplete 356 transcript assembly. Together these factors were responsible for the miss-annotation of these 357 loci as non-coding. Importantly, our results indicate that read depth and transcript assembly 358 settings impact lincRNA identification, a finding also noted by Cabilli et al. (2011). Therefore, exploring transcript assembly parameters may be necessary prior to running Evolinc-I. In sum, 359 Evolinc-I has a low FDR that can be further reduced by increasing read per base coverage 360 361 thresholds during transcript assembly as performed in Cabilli et al. (2011).

362 We determined the overlap of Evolinc predicted lincRNAs with previously published datasets from humans and Arabidopsis, following as closely as possible the methods published 363 364 for each dataset. We first used Evolinc-I to identify lincRNAs from an RNA-seq dataset generated by Liu et al. (2012) in Arabidopsis (File S1). From nearly one billion reads generated 365 366 from four different tissues (siliques, flowers, leaves, and roots), Liu et al. (2012) identified 278 lincRNAs (based on the TAIR9 reference genome annotation). Using the Liu et al. (2012) SRA 367 data, we mapped RNA-seq reads and assembled transcripts with Tophat2 and Cufflinks2 in the 368 369 DE. From these transcripts, Evolinc-I, identified 571 lincRNAs. We then reconciled the lincRNAs 370 identified in Liu et al. (Liu-lincRNAs) with those from Evolinc-I (Evolinc-lincRNAs), by identifying overlapping genomic coordinates for lincRNAs from the two datasets using the Bedtools suite 371 372 (Quinlan and Hall, 2010). Of the 278 Liu-lincRNAs, 261 were also recovered by Evolinc-I (Table 373 S1). Cufflinks failed to assemble the 17 unrecovered Liu-lincRNAs, due to low coverage, and

thus differences in recovery for these loci reflect differences in the Cufflinks parametersemployed.

376 The Arabidopsis genome reference has been updated since Liu et al. (2012), from 377 TAIR9 to TAIR10 (Lamesch et al., 2012). We also ran Evolinc-I with the TAIR10 annotation and 378 found that only 198 of the 261 Liu-lincRNAs were still considered intergenic (Figure 1B). The 379 remaining 63 were reclassified as overlapping a known gene (either sense overlapping 380 transcript, SOT, or antisense overlapping transcript, AOT). This highlights an important aspect 381 of Evolinc-I. While Evolinc-I is able to identify long non-coding RNAs without a genome 382 annotation, genome annotation guality can impact whether an IncRNA is considered intergenic versus AOT or SOT. In sum, 198 of the 571 lincRNAs identified by Evolinc-I correspond to a 383 previously identified Liu-lincRNA (Figure 1B). 384

Of the 571 lincRNAs identified by Evolinc-I, 373 were not classified as lincRNAs by Liu 385 386 et al. (2012). Evolinc-I removes transcripts that overlap with the 5' and 3' UTRs of a known gene, whereas Liu et al. (2012) removed transcripts that were within 500 bp of a known gene 387 (Liu et al., 2012). This difference in the operational definition of intergenic space accounts for 388 the omission of 197 Evolinc-lincRNAs from the Liu et al. (2012) lincRNA catalog. In addition, 389 Evolinc-I removes transcripts with high similarity to transposable elements, but not tandem di- or 390 391 trinucleotide repeats. We could see no biological reason for excluding these simple repeat 392 containing transcripts, and in fact, transcripts with simple tandem repeats have been attributed 393 to disease phenotypes and therefore might be of particular interest (Usdin, 2008). The inclusion 394 of these transcripts accounts for 106 of the unique Evolinc-lincRNAs.

Finally, 70 of the 571 Evolinc-lincRNAs were entirely novel, and did not correspond to any known Liu-lincRNA or gene within the TAIR10 genome annotation. To determine whether these represented *bona fide* transcripts, we tested expression of a subset (n = 20) of single and multi-exon putative lincRNAs by RT-PCR using RNA extracted from two different tissues (seedlings and flowers, Figure S1A). We considered expression to be positive if we recovered a
band in two different tissues or in the same tissue but from different biological replicates. We
recovered evidence of expression for 18 of these putative lincRNAs out of 20 tested. Based on
these data we conclude that a majority of the 70 novel lincRNAs identified by Evolinc-I for
Arabidopsis are likely to reflect *bona fide* transcripts, and thus valid lincRNA candidates.

404 We next compared Evolinc-I against a well-annotated set of human lincRNAs 405 characterized by Cabili et al. (2011). Cabili et al. (2011) used RNA-seq data from 24 different tissues and cell types, along with multiple selection criteria to identify a "gold standard" 406 407 reference set of 4,662 lincRNAs. We assembled transcripts from RNA-seq data for seven of these tissues (File S1) using Cufflinks under the assembly parameters and read-per-base 408 coverage cut-offs of Cabili et al. (2011) (see Materials and Methods). We then fed these 409 410 transcripts to Evolinc-I. To directly compare Evolinc-I identified lincRNAs with the Cabili et al. 411 (2011) reference dataset (Cabili-lincRNAs), we used the BED files generated by Evolinc-I to identify a subset of 360 multi-exon putative lincRNAs that were observed in at least two tissues 412 (consistent with criteria employed in Cabili et al. [2011] when using a single transcript 413 assembler). We then asked whether these 360 Evolinc-I lincRNAs were found in either the 414 415 Cabili-lincRNAs, or the hg19 human reference annotation (UCSC). A total of 317 (88%) of the Evolinc-I lincRNAs matched known lincRNAs from the two annotation sources (Figure 1C). The 416 remaining 43 transcripts (12% of the 360 tested) passed all other criteria laid out by Cabili et. al. 417 (2011) and therefore may be *bona fide* lincRNAs, but will require further testing. 418

419

420 Evolution of lincRNA loci with Evolinc-II

421 Evolinc-II validation

422 Evolinc-II is an automated and improved version of a workflow we previously used to determine the depth to which Liu-lincRNAs (Liu et al., 2012) were conserved in other species of the 423 424 Brassicaceae (A., D., L., Nelson et al., 2016). The Evolinc-II workflow is outlined in Figure 2A. 425 While most Liu-lincRNAs were restricted to Arabidopsis, or shared only by Arabidopsis and A. 426 lyrata, 3% were conserved across the family, indicating that the lincRNA-encoding locus was 427 present in the common ancestor of all Brassicaceae ~54 MYA (Beilstein et al., 2010). We used 428 Evolinc-II to recapitulate our previous analysis in three ways. First, to provide replicates for statistical analysis, we randomly divided the 5,361 Liu-lincRNAs into 200-sequence groups prior 429 to Evolinc-II analysis (n = 27; Figure 2B and Figure S2B). Second, we performed a separate 430 comparison by dividing the Liu-lincRNAs based upon chromosomal location (n = 5). Lastly, we 431 used Evolinc-II to search for sequence homologs using the complete Liu-lincRNA dataset but 432 433 querying with varying E-value cutoffs (E-20, E-15, E-10, E-05, and E-01). This analysis allowed 434 us to test the impact of the requirement for reciprocity on the recovery of putative homologs 435 under different E-value criteria (Figure 2B and Figure S2D). The number of sequence homologs increased for each decrement in BLAST stringency (Figure S2D), indicating that a significant 436 437 number of putative homologs fulfill the reciprocity requirement even as sequence similarity 438 decreases. The percentage of sequence homologs retrieved by Evolinc-II was statistically indistinguishable for lincRNAs assigned to groups, chromosomes, or the average from all E-439 440 value cutoffs (Figure 2B and Figure S2C). Thus, Evolinc-II is a robust method to identify sets of lincRNAs that are conserved across a user-defined set of species, such as the Brassicaceae. 441

In addition to identifying sets of conserved lincRNAs, Evolinc-II also highlights conserved regions within each query lincRNA. To demonstrate these features, we scanned through the Liu-lincRNA Evolinc-II summary statistics file (at 1E-10; File S4) to identify a conserved lincRNA. At1NC023160 is conserved as a single copy locus in eight of the ten species we examined. It was identified by Liu et al. (2012) based on both RNA-seq and tiling array data, as 447 well as validated by Evolinc-I. During the comparative analyses, Evolinc-II generates a guery-448 centric coordinate file that allows the user to visualize within a genome browser (e.g., JBrowse; 449 [Buels et al., 2016]) what regions of the query lincRNA are most conserved. Using this query-450 centric coordinate file, we examined the 332 nt At1NC023160 locus in the CoGe genome 451 browser and determined that the 3' end was most highly conserved (Figure 2C). We used the MAFFT multiple sequence alignment generated by Evolinc-II for At1NC023160 to perform 452 453 structure prediction with RNAalifold (Figure S3A; (Lorenz et al., 2011)). The structural prediction 454 based on the multiple sequence alignment had a greater base pair probability score and lower minimum free energy than the structure inferred from the Arabidopsis lincRNA alone (Figure 455 S3B and S3C). Conserved regions of a lincRNA serve as potential targets for disruption via 456 genome editing techniques, thereby facilitating its functional dissection. 457

458

459 Using Evolinc-II to infer the evolution of the human telomerase RNA locus TERC

460 In addition to exploring the evolutionary history of a lincRNA catalog, Evolinc-II is an effective tool to infer the evolution of individual lincRNA loci. To showcase the insights Evolinc-II can 461 provide for datasets comprised of a small number of lincRNAs, we focused on the well-462 463 characterized human lincRNA, TERC. TERC is the RNA subunit of the ribonucleoprotein 464 complex telomerase that is essential for chromosome end maintenance in stem cells, germ-line cells, and single-cell eukaryotes (Theimer and Feigon, 2006; Zhang et al., 2011; Blackburn and 465 Collins, 2011). TERC is functionally conserved across almost all eukarya, but is highly 466 sequence divergent. Building on work performed by Chen et al. (2000) we used Evolinc-II to 467 468 examine the evolutionary history of the human TERC locus in 26 mammalian species that last shared a common ancestor between 100-130 MYA (Figure 3) (Glazko, 2003; Arnason et al., 469 470 2008).

471 Evolinc-II identified a human TERC sequence homolog in 23 of the 26 species examined 472 (Figure 3; raw output shown in Figure S4). We were unable to identify a human TERC homolog 473 in Ornithoryhnchus anatinus (platypus), representing the earliest diverging lineage within class 474 Mammalia, using our search criteria. In addition, Mus musculus (mouse) and Rattus norvegicus 475 (rat) were also lacking a human TERC homolog. However, close relatives of mouse and rat, such as Ictidomys tridecemlineatus (squirrel) and Oryctolagus cuniculus (rabbit) retained clear 476 477 human TERC sequence homologs, suggesting that loss of the human TERC-like locus is restricted to the Muridae (mouse/rat family). This is in agreement with the previous identification 478 of the mouse TERC, which exhibits much lower sequence similarity with the human TERC than 479 480 do other mammals (Chen et al, 2000). All identified human TERC homologs also share synteny, 481 suggesting similar evolutionary origins for this locus throughout mammals (Figure 3). Evolinc-II 482 also identified lineage-specific duplication events for the human TERC-like locus in the 483 orangutan, lemur, and galago genomes (Figure 3), similar to previous observations in pig and cow (Chen et al., 2000). In sum, Evolinc-II can be applied to both large and small datasets to 484 uncover patterns of duplication, loss, and conservation across large phylogenetic distances. 485

486

487 **Discussion**

488 Rapid identification of lincRNAs using Evolinc-I

With Evolinc-I our goal was to develop an automated and simple pipeline for rapid lincRNA discovery from RNA-seq data. In addition to identification, Evolinc-I generates output files that put downstream analyses and data visualization into the hands of biologists, making it simpler for researchers to discover and explore lincRNAs. Evolinc-I makes use of standard lincRNA discovery criteria, and packages each step into easy-to-use applications within the CyVerse DE or for command-line use via a Docker image with all dependencies pre-installed. We 495 recommend the DE-version of Evolinc-I for novice users, whereas the command-line version of Evolinc-I is useful for knowledgeable users wishing to tweak parameters to fit their system or 496 497 guestion. By using Evolinc-I within the DE, the user can take advantage of the 498 cyberinfrastructure support of CyVerse (Merchant et al., 2016). One of the key advantages of 499 combining Evolinc-I with cyberinfrastructure such as the CyVerse's DE is the ability to combine 500 various applications together in one streamlined workflow, and making the workflow easier to 501 implement by interested researchers. For instance, a user can download an RNA-seq SRA file 502 into their DE account, quickly process and map reads, assemble transcripts, and execute 503 Evolinc-I. All of this occurs within the DE without downloading a single file or installing a program on a desktop computer. 504

We demonstrated the ability of Evolinc-I to identify lincRNAs from previously curated 505 506 catalogs for plants and mammals. Note that we were able to account for all differences between 507 results from Evolinc-I and the published studies, indicating that our pipeline is operating under definitions and filters currently used by the community. Moreover, because we have formalized 508 509 the process by which annotations of genome data can be incorporated into the search strategy. Evolinc-I gives researchers the ability to easily explore the contributions of TEs, repetitive 510 511 elements, or other user defined features to the prediction of lincRNA loci. Finally, we stress that this tool permits experiments to be repeated by researchers to compare the contribution of 512 recently released annotations, or to repeat experiments from other groups. This latter point 513 514 cannot be overemphasized as interest in lincRNAs grows.

515

516 *Examining evolutionary history and patterns of conservation of lincRNA loci using* 517 *Evolinc-II* 518 Evolinc-II is designed to perform a series of comparative genomic and transcriptomic analyses 519 across an evolutionary timescale of the user's choosing and on any number (1-1000s) of query 520 lincRNAs. Similar to the IncRNA discovery and evolutionary analysis tool Slncky (J., Chen et al., 521 2016), the analyses performed by Evolinc-II highlight conserved lincRNA loci, conserved 522 regions within those loci, and overlap with transcripts in other species. To develop an 523 informative evolutionary profile, we recommend users incorporate as many genomes as 524 possible for closely related species and then choose more distantly related species based on 525 the level of genome annotation, genome quality, and quantity of IncRNAs identified for those 526 species. The computationally intensive nature of these analyses is ameliorated by taking advantage of a high-performance computing cluster such as CyVerse. While sequence 527 conservation is certainly not the only filtering mechanism to identify functional lncRNAs, we 528 529 believe that is a critical first step. In the future, as more becomes known about structural 530 conservation within IncRNAs, this aspect of IncRNA evolution will be added as an additional filter. We envision Evolinc-II being useful for both scientists attempting to identify functional 531 regions of a lincRNA as well as those wanting to understand the pressures impacting lincRNA 532 533 evolution.

534 In addition to highlighting large-scale lincRNA patterns of conservation, we also demonstrated how Evolinc-II can be used to examine the detailed evolutionary history of a 535 single lincRNA, using the human TERC as a test-case. We performed an Evolinc-II analysis 536 with human TERC on 26 genomes in the class Mammalia, 14 of which had not been included in 537 538 previous studies (Chen et al., 2000). As expected, we recovered a human TERC-like locus in most mammals, as well as three previously unrecorded lineage-specific duplication events. 539 Whether these duplicate TERC loci are expressed and interact with telomerase is unknown; if 540 541 so they may represent potential regulatory molecules, similar to TER2 in Arabidopsis (Xu et al., 542 2015; A., D., L., Nelson and Shippen, 2015). We also determined that the human TERC-like

543 locus was lost (or experienced an accelerated mutation rate relative to other mammals) in the 544 common ancestor of mouse and rat. The conservation of the TERC locus across mammals, characterized by rare evolutionary transitions such as that in mouse and rat, stands in stark 545 546 contrast to the evolution of the telomerase RNA in Brassicaceae (Beilstein et al., 2012), despite 547 the fact that other telomere components are highly conserved (Nelson et al., 2014). Interestingly, mammalian TERCs appear to evolve more slowly than their plant counterparts, 548 549 similar to the protein components of telomerase (Wyatt et al., 2010). These discoveries highlight the novel insights that can be uncovered using Evolinc-II on even well studied lincRNAs. 550

In summary, Evolinc streamlines lincRNA identification and evolutionary analysis. Given the wealth of RNA-seq data being uploaded on a daily basis to NCBI's SRA, and the increased availability of high performance computing resources, we believe that Evolinc will prove to be tremendously useful. Combining these resources, Evolinc can uncover broad and fine-scale patterns in the way that lincRNAs evolve and ultimately help in linking lincRNAs to their function.

556

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569 Figure Legends

570 Figure 1. Schematic representation of the Evolinc-I workflow and validation. (A)

571 Evolinc-I takes assembled transcripts as input and then filters over several steps (1-4).

572 Evolinc generates output files detailed in the materials and methods. (B) Evolinc

validation on RNA-seq data from Liu et al. (2012). Four tissues were sequenced by Liu

et al., as indicated by the red circles, including (from top to bottom) flowers, siliques,

575 leaves, and roots. Assembled transcripts were fed through Evolinc-I to identify

576 lincRNAs, Antisense Overlapping Transcripts (AOTs), and Sense Overlapping

577 Transcripts (SOTs). A reconciliation was performed between the Evolinc-I identified

578 lincRNAs and the Liu et al. dataset. Gene associated transcriptional unit (GATU) and

579 repeat containing transcriptional unit (RCTU) terminology comes from Liu et al. (2012).

580 **(C)** Evolinc validation of Cabili et al. (2011) RNA-seq data. RNA-seq data was

assembled and then filtered through additional Cabili-specific parameters (shown in

582 box). The pie chart shows Evolinc-identified lincRNAs that correspond to Cabili et al. or 583 are novel.

584 **Figure 2. Schematic representation of the Evolinc-II workflow and validation of**

Liu-lincRNA and Evolinc-identified lincRNAs. (A) Evolinc-II uses lincRNAs as a query in reciprocal BLAST analyses against any number of genomes. Sequences that match the filters (see Materials and Methods) are grouped into families of sequences based on the guery lincRNA. Each sequence homolog is classified using user-defined 589 data or annotations, such as expression or overlap with known gene or lincRNA. Sequences are aligned to highlight conserved regions and to infer phylogeny. These 590 steps can be performed on thousands to tens of thousands of query lincRNAs. Gene 591 trees are inferred for each sequence family using RAxML. The resulting trees are 592 reconciled with the known species tree using Notung 2.0. Notung delineates gene loss 593 and duplication events by marking the output tree with a D (duplication) and blue 594 branch, or L (loss) and red branch. Phylogenetic inference is computationally intensive, 595 and thus we suggest limiting the number of sequence families for which the analysis is 596 597 performed. Data files generated by Evolinc-II are described in the Materials and Methods. (B) Validation of Evolinc-II by repeating the Liu-lincRNA dataset in three 598 different ways. The ~5400 Liu-lincRNAs were randomly divided into 200 sequence bins 599 (blue bar), each bin was run through Evolinc-II (total number of runs = 27), and then the 600 results were averaged, with standard deviation denoted. In the second analysis, the Liu-601 lincRNAs were divided based on chromosome, and then each set of Liu-lincRNAs (five 602 groups) were run through Evolinc-II separately. Lastly, all Liu-lincRNAs were run 603 through Evolinc using different BLAST E-value cutoffs (E-1, -5, -10, -15, -20), and the 604 605 results averaged. Bars represent the percent of Liu-lincRNAs for which sequence homologs were identified. A. tha = Arabidopsis thaliana, A. lyr = Arabidopsis lyrata, C. 606 rub = Capsella rubella, L. ala = Leavenworthia alabamica, B. rap = Brassica rapa, B. ole 607 608 = Brassica oleracea, S. par = Schrenkiella parvula, E. sal = Eutrema salsugineum, A. ara = Aethionema arabicum, and T. has = Tarenaya hassleriana. (C) Genome browser 609 visualization of the At1NC023160 locus and its conservation in other Brassicaceae. 610 611 Regions of the Arabidopsis locus that Evolinc-II identified to be conserved are shown in

green, with species of origin listed to the right. The blue bar indicates the length of the
locus in Arabidopsis, with the arrow indicating direction of transcription. The region of
the locus selected for structural prediction is shown in the red dashed box.

615 Figure 3. Evolinc-II analysis of the human TERC locus in mammals. Species tree of 26 species within class Mammalia with duplication (D) or loss (L) events hung on the 616 617 tree (left). A micro-synteny profile is shown to the right for each species, showing the TERC locus in red, and adjacent protein-coding genes in black. Direction of each gene 618 619 is indicated with arrows. The mouse and rat TERC loci are indicated by blue arrows to 620 represent the poor sequence similarity between these two loci and human TERC. Divergence times are approximate and extracted from Arnason et al. (2008). A key is 621 shown below, with gene names indicated. All pertinent links are shown below to 622 regenerate micro-synteny analyses with CoGe (genome evolution.org) for all species on 623 the tree. 624

File S1 List of publically available genome and sequence files used, as well as
 conditions and results from TopHat and Cufflinks for each assembly.

File S2 Evolinc-I output for all species from which lincRNAs were identified, as well as
bed files for genome browser viewing, and primers used in RT-PCR verification of
transcription of novel Arabidopsis lincRNAs. Also contains CoGe genome browser links
to the novel lincRNAs identified.

File S3 False-positive testing of Evolinc-I with Arabidopsis protein-coding genes.

632 **Figure S1** RT-PCR validation of lincRNAs identified in Arabidopsis by Evolinc-I.

633 LincRNA IDs match those found in File S2. G = genomic DNA positive control. F =

flower cDNA, S = seedling cDNA.

635 Figure S2 Examining conservation of Liu-lincRNAs in multiple ways with Evolinc-II. (A) Example of the type of bar graph produced by Evolinc-II, in this case for the Liu-636 637 lincRNAs at 1E-20. (B) Bar graph of level of lincRNA conservation observed when dividing the Liu-lincRNAs into 27 random bins of 200 lincRNAs each. Standard deviation 638 639 is based on the difference seen between the 27 bins. (C) Bar graph depicting the level of lincRNA conservation seen when dividing the Liu-lincRNAs by Arabidopsis 640 chromosome (E-cutoff value of 1E-20). (D) Bar graph demonstrating the level of 641 conservation of the Liu-lincRNAs throughout Brassicaceae at different E-cutoff values. 642 Figure S3 Using At1NC023160 to highlight the structural information that can be 643 gleaned from Evolinc-II. (A) Multiple sequence alignment, generated by MAFFT and 644 visualized within Geneious v7.1 (Kearse et al., 2012). Similar sequences are 645 highlighted, with the consensus sequence shown on top. Nucleotide identity is shown 646 below the consensus sequence, with green representing 100% identity across all 647 sequences. (B) RNAalifold (Lorenz et al., 2011) consensus secondary structure 648 prediction based on multiple sequence alignment in (A). Base-pair probabilities are 649 650 shown, with red being more probable and blue least probable. (C) RNAfold structure prediction based on the same region as in (B), but limited to just the Arabidopsis 651 sequence. Base-pair probabilities are shown as in (B). 652

Figure S4 Raw phylogenetic output from Evolinc-II for TERC. (A) A gene tree for the
 TERC sequence homologs identified in each of the species shown. Sequences without

655	"TBH" indicate paralogs. (B) Notung (Durand et al., 2006) reconciliation of the gene tree
656	shown in (A) to the known species tree. Duplication (red "D") and loss events (grey
657	"LOST") are shown. Support for duplication or loss events are indicated by the green
658	numbers at the nodes that represent the predicted origin of those events.
659	Table S1 Percent similarity between transcripts identified following transcript assembly
660	and lincRNA identification.
661	
662	
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Nelson et al, Figure 3



To regenerate the micro-synteny analyses https://genomevolution.org/r/lxvp https://genomevolution.org/r/lxvo https://genomevolution.org/r/lxvn https://genomevolution.org/r/lxz6